

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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Facsimile Cover Sheeturgent ☒return reply requested ☐original will be sent as confirmation ☐**DATE:** August 11, 2000**PHONE NO.:** 703 308 7921**PAGES:** 4 (including this cover sheet)**TO:** United States Patent & Trademark Office**ATTN:** Examiner Joseph Murray**FROM:** Steven R. Ludwig/Suzanne E. Ziska *SEL***RE:** U.S. Patent Application No. 09/360,947; Filed: July 27, 1999Title: **Method for Separating a Tocopherol from a Tocopherol-Containing Mixture and Compositions Thereof****YOUR REF:****OUR REF:****MESSAGE**

Further to your telephone conversation of earlier today with Suzanne Ziska, attached is a copy of the Certificate under 37 CFR § 3.73(b) showing the chain of title from the inventor (Sumner) to the current assignee, Archer Daniels Midland Company (ADM). Also attached is a copy of the power of attorney from the assignee (ADM) showing that STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. has been appointed to prosecute the above application. Further attached is a copy of the notice of recordation of the assignment, showing that the assignment of the above application to ADM was recorded at the PTO on February 11, 2000. **Please fax us a photocopy of the Office Action, mailed April 6, 2000, in the above captioned application.** Thank you for your help in this matter. If you have any questions, please do not hesitate to contact us.

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If any portion of this transmission is not received clearly or in full, contact us at any of the following numbers:

TELEPHONE NUMBER
(202) 371-2600

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(202) 371-2540

Certificate Under 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: Charles E. SUMNER, Jr.

Application No./Patent No.: 09/360,947

Filed/Issue Date: July 27, 1999

Entitled: Method for Separating a Tocopherol from a Tocopherol-Containing Mixture and Compositions Thereof

Archer-Daniels-Midland Company, a corporation

(Name of Assignee)

(Type of Assignee: e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: Charles E. Sumner, Jr. To: Eastman Chemical Company
The document was recorded in the Patent and Trademark Office at Reel 010198, Frame 0816, or for which a copy thereof is attached.

2. From: Eastman Chemical Company To: Archer-Daniels-Midland Company
The document was recorded in the Patent and Trademark Office at Reel 010577 Frame 0195, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Date: 8/10/00

Name: David J. Smith

Title: Vice President, Secretary and General Counsel

Signature: [Signature]

POWER OF ATTORNEY FROM ASSIGNEE

Archer-Daniels-Midland Company, a corporation of Delaware, having a principal place of business at 4666 E. Faries Parkway, Decatur, Illinois 62526, is assignee of the entire right, title and interest for the United States of America (as defined in 35 U.S.C. § 100), by reason of an Assignment to the Assignee executed on November 18, 1999 of an invention known as Methods for Separating a Tocopherol from a Tocopherol-Containing Mixture and Compositions Thereof (Attorney Docket No. 1533.1340004/SRI/SEZ), which is disclosed and claimed in a patent application of the same title by the inventor Charles E. SUMNER, Jr. (said application filed on July 27, 1999 at the U.S. Patent and Trademark Office, having Application Number 09/360,947).

The Assignee hereby appoints the following U.S. attorneys to prosecute this application and any continuation, divisional, continuation-in-part, or reissue application thereof, and to transact all business in the U.S. Patent and Trademark Office connected therewith: Robert Greene Sterne, Esq., Reg. No. 28,912; Edward J. Kessler, Esq., Reg. No. 25,688; Jorge A. Goldstein, Esq., Reg. No. 29,021; Samuel L. Fox, Esq., Reg. No. 30,353; David K.S. Cornwell, Esq., Reg. No. 31,944; Robert W. Esmond, Esq., Reg. No. 32,893; Tracy-Gene G. Durkin, Esq., Reg. No. 32,831; Michele A. Cimbala, Esq., Reg. No. 33,851; Michael B. Ray, Esq., Reg. No. 33,997; Robert E. Sokohl, Esq., Reg. No. 36,013; Eric K. Steffe, Esq., Reg. No. 36,688; Michael Q. Lee, Esq., Reg. No. 35,239; Steven R. Ludwig, Esq., Reg. No. 36,203; Raz E. Fleshner, Esq., Reg. No. 34,331; John M. Covert, Esq., Reg. No. 38,759; and Linda E. Alcorn, Esq., Reg. No. 39,588. The Assignee hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

Send correspondence to:

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U.S.A.

Direct phone calls to 202-371-2600.

FOR: Archer-Daniels-Midland Company

SIGNATURE: x [Signature]

BY: David J. Smith

TITLE: Vice President, Secretary and General Counsel

DATE: x 8/10/00



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 31, 2000

PTAS

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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/11/2000

REEL/FRAME: 010577/0195
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EASTMAN CHEMICAL COMPANY

DOC DATE: 11/18/1999

ASSIGNEE:

ARCHER-DANIELS-MIDLAND COMPANY
4666 FARIES PARKWAY
DECATUR, ILLINOIS 62526

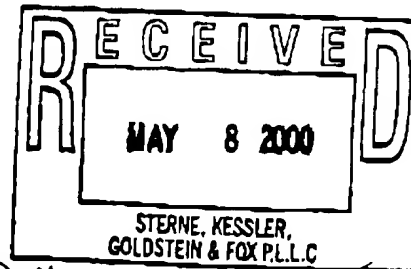
SERIAL NUMBER: 09360947

FILING DATE: 07/27/1999

PATENT NUMBER:

ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



JA
SRL SRL 5/8/00
SEC 5/10/2000 FILE